# An Efficient Collision Detection Scheme for Generation-2 RFID Systems

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#### **Abstract**

In radio-frequency identification (RFID) systems, tag collision resolution is a significant issue for fast tag identification. Dynamic framed slotted ALOHA (DFSA) is one of the most widely used algorithms to resolve tag collision. Collision detection (CD) plays an important role in determining the efficiency of DFSA-based algorithms because most DFSA-based algorithms determine the next frame size according to the number of collided slots in the current frame. Existing CD methods do not respond quickly enough to detect a collision and have difficulty in distinguishing a collision from noise, resulting in a degradation of system efficiency. This paper presents a CD scheme based on the EPCglobal Class-1 Generation-2 protocol to improve CD efficiency. This scheme enables fast and accurate CD by detecting the number of pulses transmitted by tags. The effectiveness and practical feasibility of the scheme is verified by simulation and implementation. Performance evaluation results show that the proposed scheme achieves faster identification speed than the conventional methods, especially under noise

**Keywords:** RFID, access protocols, tag collision, collision detection, ALOHA, Gen2

#### 1. Introduction

Radio-frequency identification (RFID) is an automatic wireless identification technology which has become an attractive solution for supply chain management and industry automation. An RFID system generally consists of an RFID reader and one or more RFID tags, which are typically attached to objects that need to be identified by the reader. To identify tags, the reader sends query commands to the tags, and the tags send response back to the reader.

One primary goal of RFID systems is to identify multiple tags as fast as possible. However, if more than one tag replies to the reader simultaneously, a tag collision occurs and none of the tags can be identified by the reader. Therefore, collision resolution is a critical issue in determining tag identification speed. To resolve the collision problem, many anti-collision algorithms have been presented. There are two main kinds of anti-collision algorithms used in RFID systems: tree-based [1]-[7] and

ALOHA-based [8]-[14]. The tree-based algorithm splits the collided tags into subsets when a collision occurs, then proceeds each subset separately. The process is repeated until all tags are identified. The tree-based algorithm may require much time when the number of tags is large. In ALOHA-based algorithm, the reader divides time into intervals of time slots, and each tag randomly selects one time slot and sends its ID in that time slot. Generally, slots are issued in frames (from which the name framed slotted ALOHA), the size of which is determined by the reader at the beginning of each frame. In a frame, if two or more tags select a same slot to transmit data, a collision occurs and the collided tags retransmit in the next frame. The performance of framed slotted ALOHA (FSA) depends on the number of tags and the frame size. The maximum efficiency of FSA can be achieved when the frame size is equal to the number of tags [15]. Dynamic framed slotted ALOHA (DFSA) is an advanced version of FSA which dynamically changes the frame size according to the number of unidentified tags to optimize the efficiency of FSA. In DFSA, in order to determine the optimal frame size for the next frame, the number of unidentified tags needs to be estimated at the current frame. Therefore, estimating the number of unidentified tags plays a crucial role in determining the efficiency of DFSA.

Recently, many works on tag estimation method have been proposed to improve the performance of DFSA based RFID systems [16]-[19]. In these methods, tag estimation is based on observing the number of empty, successful, and collided slots in a frame. A common feature of these methods is that they operate under assumption that the information of slot occupancy is error free. However, in practical situations, this assumption is not always true due to reasons such as noise. The decision on slot occupancy—whether the slot contains 0, 1, or more replies, directly determines the number of empty, successful and collided slots. Thus, collision detection (CD), detecting a collision and distinguishing it from empty and successful slots, is an important factor in determining the overall system performance.

In passive RFID systems, the conventional methods for detecting a tag collision are mainly achieved by detecting a signal corruption, or analyzing the signal waveform



[20]-[23]. Khasgiwale et al. [20] presented a CD technique that detects the number of tags involved in a collision by analyzing the radar cross-section (RCS) state in the tag signal waveform. This technique enables detection of up to 4 collided tags, however, requires support of professional tools, like the NI-PXI hardware for signal capture and LabVIEW software for signal processing. Liu et al. [21] proposed a CD method by detecting a corruption in the received signal based on the coding characteristics of the signal. Yang et al. [22] proposed a quick CD approach based on checking a newly designed preamble in the tag signal, which is supposed to be implemented on a tag. Kang et al. [23] designed a collision decoding scheme based on a CD performed by detecting the signal length. The drawbacks of these methods are twofold: (1) A relatively long time required wasting slot duration time; (2) When signal corruption is detected, it is difficult to determine whether it is caused by tag collision or just by noise such that the number of unnecessary slots may be increased. These problems can cause the overall identification speed degraded. Thus, to overcome these problems, we propose a CD scheme based on the EPCglobal Class-1 Generation-2 (Gen2) RFID protocol, the anti-collision algorithm of which is a kind of DFSA. In the proposed scheme, CD is achieved by detecting the number of pulses transmitted by tags to detect a collision faster and more accurately.

The remainder of this paper is organized as follows. In Section 2, we describe the operation of the Gen2 RFID system. In Section 3, we describe the proposed CD scheme and analyze its performance. In Section 4, performance evaluation results of the proposed scheme obtained by simulation are presented. In Section 5, we discuss the implementation issues of the proposed scheme. In Section 6, the conclusions are drawn.

## 2. System Description

Our proposed scheme is for the RFID systems based on the EPCglobal Class-1 Generation-2 RFID air interface protocol, hereafter referred to as the Gen2 protocol. This protocol provides superior new features including flexibility, robustness and fast tag identification. A full specification of the Gen2 protocol can be found in [24]. In the Gen2 protocol, a *Q* algorithm, which is a variant of the DFSA algorithm, is proposed as the anti-collision algorithm.

In the Q algorithm, a parameter Q which denotes the exponent of frame size ( $2^Q$ ) in FSA is used to adjust the frame size. The reader sends a command message which includes the frame size information to tags. In the frame with determined frame size, the tags choose random selected slots to send their IDs to the reader. The operation

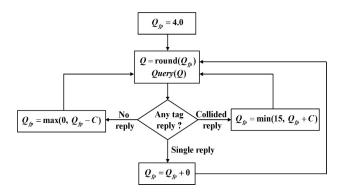


Fig. 1 Q algorithm in Gen2 protocol

of the Q algorithm is illustrated in Fig. 1. In the Q algorithm, the reader receives tag replies slot by slot in a frame. The next frame size is determined by evaluating the slot occupancy of each slot (no reply, single reply, collided reply) in the current frame. In Fig. 1,  $Q_{fi}$  is a floating-point representation of Q. The reader rounds  $Q_{fp}$ to a nearest integer and substitutes this integer for Q in the next frame. As shown in Fig. 1,  $Q_{fp}$  is updated based on three cases of slot occupancy: no reply, single reply, and collided reply. In case of no reply, a constant C is subtracted from  $Q_{fp}$ , because it estimates that the current frame size is larger than the number of tags. In case of collided reply, a constant C is added to  $Q_{fp}$ , because it estimates that the current frame size is smaller than the number of tags. According to the Gen2 protocol, the initial value of  $Q_{fp}$  is 4 and the typical value for C is from 0.1 to 0.5 [24]. The updated  $Q_{fp}$  is rounded at the start of each slot to generate a Q. Since C < 1, there are three possible outcomes after each update of  $Q_{fp}$ : Q increments by 1, Qdecrements by 1, or Q remains unchanged. If Q changes compared with the current value, the new O which sets a new frame size to  $2^{Q}$  is sent to the tags in the next frame.

We use Fig. 2 to describe the tag identification procedure using the Q algorithm in the Gen2 protocol. Firstly, the reader issues a Query command which contains a Q parameter to set the frame size as  $2^Q$ . Then each tag receiving the Query command randomly selects a slot number (SN) in the range of  $[0,2^Q-1]$ . Only the tag whose SN is zero responds to the reader by sending an RN16, a 16-bit random number, within time  $T_1$ . If the reader successfully receives the RN16, it sends back an ACK command which contains the same RN16 within time  $T_2$ . If the tag's RN16 is the same with that in the ACK command, it sends its ID, labeled EPC in Fig. 2, to the reader. On the other hand, the tag whose SN is other

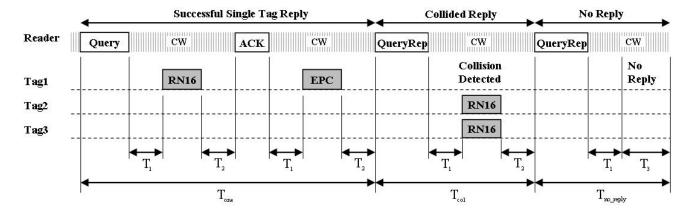


Fig. 2 Tag identification procedure in Gen2 protocol

than 0 waits a QueryRep command from the reader to cause its SN to decrement by 1. QueryRep means "repeat query"; this command is used to cause all tags to decrement their SN by 1. This process continues until the SN becomes 0, after which the procedure for the tag whose SN is zero is followed. Since each tag performs the same procedure independently, there are 3 possible situations after the reader issues a query command (Query, QueryRep, or QueryAdjust) to tags:

- (1) Successful Single Tag Reply: Only one tag responds to the reader and the reader successfully receives the RN16. Then the reader acknowledges the tag by sending an ACK. If the tag receives the ACK with a correct RN16, it sends its EPC.
- (2) Collided Reply: Multiple tags respond simultaneously and a collision occurs. The reader observes a waveform comprising multiple RN16s. The reader issues a QueryRep or QueryAdjust command to resolve the collision and continue to identify tags. The QueryAdjust command is used to adjust the value of Q and cause all tags to re-select their SN based on the new Q. The value of Q could be incremented by 1, decremented by 1, or remained unchanged according to the Q algorithm.
- (3) No Reply: No tag responds to the reader. The reader adjusts the value of Q according to the Q algorithm and continues to identify tags by sending a QueryRep or QueryAdjust command after a waiting time  $T_3$ . The tags whose SN other than 0 receive the QueryRep command and decrement their SN by 1. This process continues until the SN reaches 0, after which the procedure in (1) will be followed

#### 3. Proposed Collision Detection Scheme

We propose a scheme to improve the CD efficiency. The proposed scheme is based on the idea of carrier sense multiple access with time-split collision detection

(CSMA/TCD) first introduced to enable CD in radio channels [25]. In CSMA/TCD, each terminal transmits a preamble before data transmission, and detects collided transmission from other terminals by carrier sensing. However, in passive RFID systems, since tags are very simple devices without the ability to perform carrier sensing, tags cannot detect or avoid collisions with each other. It is up to the reader to detect and resolve tag collisions. Basing on these considerations, we proposed our CD scheme.

#### 3.1 Description of the Proposed Scheme

The operation of the proposed CD scheme is illustrated in Fig. 3. In the proposed scheme, each tag transmits a pulse with a length of  $T_{pri}$  in a random selected time slot whose period is  $T_p$ , among total L time slots before transmitting an RN16. The slot selection is scheduled by controlled randomization process, slotted-ALOHA method. In addition, considering the propagation delay and to guarantee detection of separate individual pulses, each pulse transmission is preceded by an idle period with an equal length to the pulse. In the return link, the reader monitors the pulses transmitted by tags: whether there is zero, one, or more pulses. A collision will be indicated if more than one pulse is detected. Using this method, CD can be performed faster and more accurately in the following two ways: (1) The time required to determine if there is a collision is reduced from a full RN16 transmission time to the pulse detection time  $\Delta t$ . Since the slot time  $T_n$  for one pulse transmission is less than 1-bit period in the RN16, the pulse detection time  $\Delta t$  is very short compared with the RN16 frame ( $\Delta t = 5\% T_{RN16}$  for L = 4 in Fig. 3). (2) By detecting the number of pulses to determine a collision, a collision can be unambiguously distinguished from a single tag reply corrupted by noise.

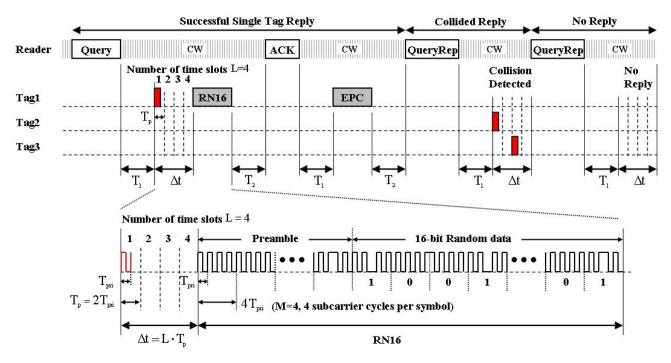


Fig. 3 Tag identification procedure with proposed CD scheme

Aiming at maximizing detect- ability while minimizing implementation complexity, the pulse waveform is proposed to be the same as one subcarrier cycle in the RN16, the period of which is  $T_{pri}$  shown in Fig. 3. For pulse detection, a square-law detector is proposed at the reader receiver for its effectiveness and design simplicity.

### 3.2 Analysis of the Proposed Scheme

In this section, we analyze and compute the optimal performance that can be achieved using the proposed scheme. The performance metric we consider is the total tag identification time. According to the Gen2 system, the total time to identify  $n_1$  tags in error free link can be calculated as

$$t = n_0 T_{no\_reply} + n_1 T_{one} + n_2 T_{col}, (1)$$

where  $n_0$ ,  $n_1$ , and  $n_2$  are the occurrence times of No Reply, Single Tag Reply, and Collided Reply shown in Fig. 2, respectively. Accordingly,  $T_{no\_reply}$ ,  $T_{one}$ , and  $T_{col}$  are the time durations for each case above and can be calculated as

$$\begin{split} T_{no\_reply} &= T_{QueryRep} + T_1 + T_3\,, \\ T_{one} &= T_{Query} + T_1 + T_{RN16} + T_2 + T_{ACK} + T_1 + T_{EPC} + T_2\,, \\ T_{col} &= T_{QueryRep} + T_1 + T_{RN16} + T_2\,. \end{split} \tag{2}$$

Note that  $T_{col}$  in (2) is the time duration for Collided Reply using the conventional CD method shown in Fig. 2.

For the proposed CD scheme, as can be seen from Fig. 3, the total time to identify the same number of tags can be calculated as

$$\begin{split} t_{CD} &= n_0 (T_{no\_reply} - T_3 + \Delta t) + n_1 (T_{one} + \Delta t) \\ &+ n_2 \eta_{CD} (T_{no\_reply} - T_3 + \Delta t) \\ &+ n_2 (1 - \eta_{CD}) (T_{col} + \Delta t), \end{split} \tag{3}$$

where  $\Delta t = L \cdot T_p$  (Fig. 3) is the processing time of CD and  $\eta_{CD}$  is the average success probability of CD which we will analyze in detail in the following.

We propose that pulse transmission be scheduled by a controlled randomization process-the slotted ALOHA method. Since each pulse is transmitted in random selected, the equal length time slot ahead of RN16, a situation exists where all pulses are distributed into a complete same time slot. In this situation, the multiple pulses will be detected as only one pulse, such that the collision will go undetected. As a result, a wrong decision "Single Tag Reply" instead of "Collided Reply" will be made. This situation caused by the complete same slot occupancy is considered as a CD failed case in the proposed scheme. We define  $p_{CD fail}$  as the failed probability of a CD for the situation above. Supposing n pulses, transmitted by ntags, are randomly distributed into L time slots, the probability that k pulses are distributed into one time slot can be calculated as [26]

$$p_k = \binom{n}{k} \left(\frac{1}{L}\right)^{k-1} \left(1 - \frac{1}{L}\right)^{n-k}.$$
 (4)

Let k=n, then we get the probability that all pulses are distributed into the same slot, which is also the  $p_{CD\_fail}$  we defined above

$$p_{CD_{-}fail} = p_{k=n} = (1/L)^{n-1}.$$
 (5)

Therefore, the success probability of a CD is

$$p_{CD \ succ} = 1 - p_{CD \ fail} = 1 - (1/L)^{n-1}.$$
 (6)

We use  $\eta_{CD}$  in (3) to describe how many times the CD has succeeded in a complete identification process.  $\eta_{CD}$  can be calculated as the ratio of successful CD times  $n_{CD}$  succ over all collision occurrence times N

$$\eta_{CD} = \frac{n_{CD\_succ}}{N}.$$
 (7)

Among the total N collision occurrences, in each collision, there could be 2, 3, or more tags involved in the collision. Thus, we can define  $c_k$  as the number of collisions which involve k tags in an inventory frame, the expected value of  $c_k$  can be calculated as

$$c_k = L \cdot p_k, \tag{8}$$

where L is the number of time slots in the frame, and  $p_k$  is the probability that k tags are involved in the same slot, which has been defined in (4). Then we have

$$N = \sum_{k=2}^{M} c_k, \tag{9}$$

where M is the maximum number of collided tags which are involved in a collision. Therefore, the expected value of  $n_{CD\ succ}$  can be calculated as

$$n_{CD\_succ} = \sum_{k=2}^{M} c_k \cdot p_{CD\_succ}(k) = \sum_{k=2}^{M} c_k \cdot (1 - (1/L)^{k-1}). \quad (10)$$

Substituting (9) and (10) into (7), the CD success probability  $\eta_{CD}$  becomes

$$\eta_{CD} = \frac{\sum_{k=2}^{M} c_k \cdot (1 - (1/L)^{k-1})}{\sum_{k=2}^{M} c_k}.$$
 (11)

Let  $Norm_k = c_k / \Sigma_{k=2}^M c_k$ , then  $Norm_k$  denotes the normalized occurrence rate of the k-tag involved collision. Finally, (11) becomes

$$\eta_{CD} = \sum_{k=2}^{M} Norm_{k} \cdot (1 - (1/L)^{k-1}). \tag{12}$$

By dynamically adjusting the frame size L according to the Q algorithm to identify a population of tags,  $Norm_k$  can be computed. A calculation result of  $Norm_k$  is shown in Table 1, obtained by identifying 200 tags (X = 200) with an initial frame size L = 16. When the number of tags X becomes large,  $Norm_k$  shows a little difference

Table 1 Calculated  $Norm_k$  for k (identify 200 tags)

| k | Norm <sub>k</sub> | k | Norm <sub>k</sub> |
|---|-------------------|---|-------------------|
| 2 | 0.4714            | 6 | 0.0514            |
| 3 | 0.2192            | 7 | 0.0352            |
| 4 | 0.1222            | 8 | 0.0235            |
| 5 | 0.0767            |   |                   |

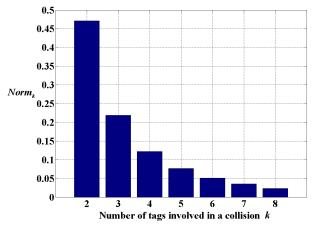


Fig. 4 Normalized collision occurrence rate  $Norm_k$  for k-tag involved collision (using results in Table 1)

accordingly since the maximum value of k increases.

Based on Table 1, a plot of  $Norm_k$  for different k is shown in Fig. 4. It can be seen that the distribution of  $Norm_k$  is close to a Poisson distribution [15] but not exactly same. The reason could be that the frame length can not always be exactly equal to the number of unidentified tags in the Q algorithm.

In addition, using the same derivation for  $c_k$  in (8), when identifying  $n_1$  tags, we can obtain the expected values of No Reply  $n_0$ , and Collided Reply  $n_2$ , which have been defined in (1). Due to limitation of space, the derivation is not shown. They can be approximated by

$$n_0 \approx 1.60 n_1, \ n_2 \approx 0.93 n_1.$$
 (13)

From (13), we could get a system efficiency of  $n_1/(n_0+n_1+n_2)=28\%$ , which matches the analytical result in [27].

Fig. 5 shows a plot of the analytical calculation of  $\eta_{CD}$  for different time slots L. The calculation is based on (12) and Table 1. As shown in Fig. 5,  $\eta_{CD}$  increases as the number of time slots L increases. However, the processing time of CD also increases since the processing time is  $\Delta t = L \cdot T_p$ , in which  $T_p$  is the period of one time slot for pulse transmission (Fig. 3). Therefore, it is necessary to find out the optimal number of time slots— $L_{op}$  which minimizes the total identification time  $t_{CD}$ .



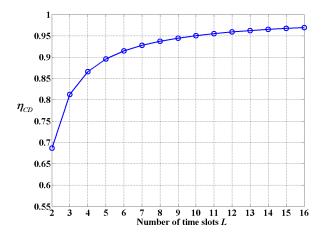


Fig. 5 CD Success probability  $\eta_{CD}$  according to number of time slots L

For the proposed CD scheme, the total identification time reduction— $\Delta t_{CD}$ , compared with the conventional CD method in (1), can be calculated as

$$\begin{split} \Delta t_{CD} &= t_{CD} - t \\ &= (n_0 + n_1 + n_2) \Delta t - n_2 \eta_{CD} (T_{col} - T_{no\_reply} + T_3) - n_0 T_3 \\ &\approx n_1 [3.53 L \cdot T_p - 0.93 \eta_{CD} (T_{col} - T_{no\_reply} + T_3) - 1.60 T_3]. \end{split}$$

Our goal is to find  $L = L_{op}$  such that  $t_{CD}$  is minimized. Thus, the optimization problem can be stated as

$$L_{op} = \arg \max_{r} \left| \Delta t_{CD} \right|. \tag{15}$$

To solve this, we examine the parameters in (14) in detail. Table 2 gives the typical system parameters of the Gen2 protocol and the values we used in the analysis. We define  $\alpha$  as the tag to reader  $(T\Rightarrow R)$  data rate in kbps and  $\beta$  as the reader to tag  $(R\Rightarrow T)$  data rate in kbps. Based on the parameters in Table 2 and (2), the  $T_p$ ,  $T_{col}-T_{no\_reply}+T_3$ , and  $T_3$  in (14) can be represented as functions of  $T\Rightarrow R$  data rate  $\alpha$  and  $R\Rightarrow T$  data rate  $\beta$ :  $T_p=2\times {\rm Tpri},\ T_{col}-T_{no\_reply}+T_3=(39\times M+3)\times {\rm Tpri},\ {\rm and}\ T_3=2.8\times {\rm Tari},\ {\rm where}\ {\rm Tpri}=1/\alpha M\ {\rm and}\ {\rm Tari}=1/\beta$ . Thus, we define

$$A(\alpha) = T_p = 2/\alpha M ,$$

$$B(\alpha) = T_{col} - T_{no\_reply} + T_3 = (39 \times M + 3)/\alpha M , \qquad (16)$$

$$C(\beta) = T_3 = 2.8/\beta .$$

Then, we can rewrite (14) as

 $\Delta t_{CD} \approx n_1 [3.53L \cdot A(\alpha) - 0.93 \eta_{CD} \cdot B(\alpha) - 1.60 \cdot C(\beta)]. (17)$   $L_{op}$  can be found by computing

$$\partial \Delta t_{CD} / \partial L = 0. \tag{18}$$

Using  $\eta_{CD}$  derived in (12), we have

Table 2 System parameters used in the analysis

| Parameters        | Descriptions  | Values                    |
|-------------------|---|---------------------------|
| Tari              | Reference time interval for a data-0 in $R \Rightarrow T$ link                    | 25 μs                     |
| β                 | $R \Rightarrow T$ link data rate  | 1/Tari=40 kbps            |
| α                 | $T \Rightarrow R$ link data rate  | 10~160 kbps               |
| M                 | Number of subcarrier cycles per symbol in $T \Rightarrow R$ link                  | 1, 2, 4, 8                |
| LF                | $T \Rightarrow R$ link frequency  | $\alpha \times M$         |
| Tpri              | Pulse-repetition interval   | 1/LF                      |
| $T_p$             | Interval of a time slot for a pulse in the proposed scheme                        | 2× Tpri                   |
| $T_1$             | Time from reader transmit to tag response   | 2.8× Tari                 |
| $T_2$             | Time from tag response to reader transmit   | 3 × Tpri                  |
| $T_3$             | Time a reader waits after $T_1$ , $2.8 \times \text{Tarm}$ before another command |                           |
| $T_{ m Query}$    | Time of Query command in $R \Rightarrow T$ link                                   | 868.5 μs                  |
| $T_{ m QueryRep}$ | Time of QueryRep command in $R \Rightarrow T$ link                                | 207.5 μs                  |
| $T_{ m RN16}$     | Time of RN16 reply in $T \Rightarrow R$ link                                      | $39 \times M \times Tpri$ |
| $T_{ m EPC}$      | Time of EPC reply in $T \Rightarrow R$ link                                       | 151 × M × Tpri            |

$$\frac{3.53A(\alpha)}{0.93B(\alpha)} \times L^8 - 0.4714 \times L^6 - 0.2192 \times 2L^5 - 0.1222 \times 3L^4$$
$$-0.0767 \times 4L^3 - 0.0514 \times 5L^2 - 0.035 \times 6L - 0.0235 \times 7 = 0.$$
(19)

From (19), it can be seen that the solution for L is determined by the  $T\Rightarrow R$  data rate— $\alpha$  and the number of subcarrier cycles per symbol in  $T\Rightarrow R$  link—M (Fig. 3). With given  $\alpha$  and M, the optimal number of time slots  $L_{op}=round(L)$  can be found by computing (19). By computing (19) with  $\alpha$  and M given in Table 2, we found that  $L_{op}$  remains the same for different  $\alpha$ , but varies according to M. Table 3 shows the optimal number of time slots  $L_{op}$  computed for different values of M.

To verify the results in Table 3, we calculated  $\Delta t_{CD}$  based on (17) according to different number of time slots L to find out  $L_{op}$ . The number of tags identified is 200 (  $n_1 = 200$  ),  $\beta$  is 40 kbps and  $\alpha$  is 75 kbps.  $\Delta t_{CD}$ , according to the number of time slots L, ranging from 2 to 16, is calculated for each case of M. Fig. 6 plots the calculation results. In Fig. 6, for each case of M, the position of  $L_{op}$  which maximizes  $|\Delta t_{CD}|$  is marked. As can be seen, the optimal number of time slots  $L_{op}$  is the same with the computation result in Table 3. The same results are obtained for different data rates  $\alpha$  but not shown here.



Table 3 Optimal number of time slots  $L_{op}$  according to  $\alpha$  and M

| α              | M | L      | $L_{op}$ |
|----------------|---|--------|----------|
| 10~160         | 1 | 2.1225 | 2        |
| 10~160<br>kbps | 2 | 2.7413 | 3        |
|                | 4 | 3.6346 | 4        |
|                | 8 | 4.9119 | 5        |

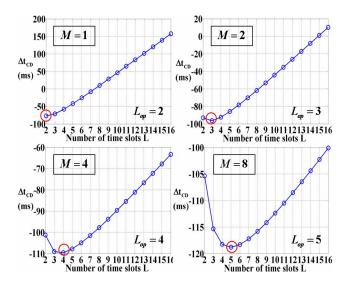


Fig. 6  $\Delta t_{CD}$  according to the number of time slots L for different values of M (Identifying 200 tags,  $\beta=40kbps$ ,  $\alpha=75kbps$ )

## 4. Performance Evaluation Results

In this section, we present numerical results of the analysis in the last section and compare them with simulation results. We also compare the performance of the proposed method with the conventional methods. The simulation model is based on the Gen2 protocol and the system parameters are specified in Table 2. We set the  $R \Rightarrow T$  data rate  $\beta$  as 40 kbps, the  $T \Rightarrow R$  data rate  $\alpha$  as 75 kbps, and the number of subcarriers per symbol M as 4.

Fig. 7 shows the identification time for 200 tags using the proposed CD scheme with various values of time slots L ranging from 2 to 16. The analytical results are based on (3), (12), and (13). As can be seen from Fig. 7: (1) the analytical and simulation results are close to each other; (2) the identification time reaches the minimum at L=4 such that verifies the analytical result in the previous section. The same results are observed for different number of tags but not listed here due to limitation of space.

Fig. 8 shows the performance comparison between the proposed CD method and the conventional methods in terms of identification time for different number of tags. As can be seen, an improvement of identification speed is

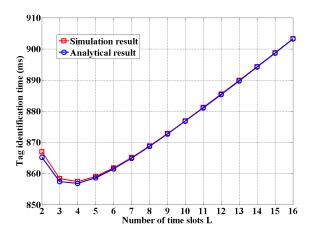


Fig. 7 Tag identification time for different number of time slots L by using the proposed CD method to identify 200 tags

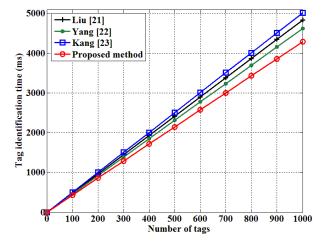


Fig. 8 Tag identification time comparison (in noise free condition,  $L=L_{op}=4$  in the proposed CD method)

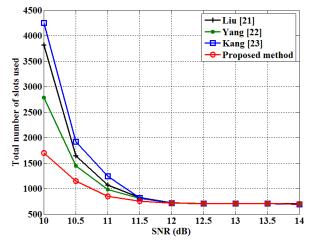


Fig. 9 Total number of slots used to identify 200 tags (in AWGN conditions)

achieved by using the proposed method. As shown in Fig. 8, when identifying 300 tags, the conventional CD method in [21] costs 1.45 sec, [22] costs 1.39 sec, [23] costs 1.50 sec, while the proposed method costs 1.28 sec.

Fig. 9 shows the performance evaluation result in AWGN conditions. We compare the total number of slots  $(n_0 + n_1 + n_2)$  used to identify the same number of tags. An average SNR ranging from 10 to 14 dB is selected to identify 200 tags. As can be seen from Fig. 9, when the channel suffers an increasing level of noise, the conventional CD methods require increasing more slots than the proposed method since the conventional methods have difficulty in distinguishing a collision from single reply corrupted by noise. An incorrect decision on slot occupancy could cause an increment of unnecessary slots.

## 5. FPGA Prototype Implementation

For implementing the proposed scheme, a pulse generation function is required to implement in the tag. Fig. 10 shows the block diagram of the proposed tag chip with emphasis on baseband processor. As shown in Fig. 10, the chip consists of three main sections: analog front end, baseband processor, and electronically erasable programmable readonly memory (EEPROM). The analog front end converts RF power received by the antenna into DC voltage which provides power supply for the rest circuits in the tag. In addition, the analog front end is responsible for receiving signals from the reader, demodulating and digitalizing the data to be used by the baseband processor. It also modulates the tag replies and transmits them to the reader [28]. The baseband processor is responsible for decoding and interpreting the incoming commands from the reader, managing the communication procedure, and generating replies for the received commands. The replies generated by the baseband processor are delivered to the analog front end for sending to the reader. The proposed scheme requires no additional hardware support and can be implemented by using digital logic in the baseband processor.

The baseband processor consists of several modules. The decoder module decodes the incoming data from the analog front end and extracts information included in the received data. The cyclic redundancy check (CRC) check module checks the CRC code in the decoded data for error checking. The encoder module encodes the tag reply data in either FM0 or Miller format and delivers it to the analog front end. The protocol controller is responsible for managing the whole system, deciding what operations should be done, when and what replies should be sent according to the Gen2 protocol.

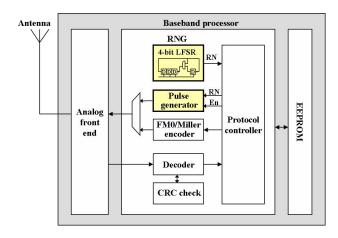


Fig. 10 Block diagram of the proposed RFID tag chip with emphasis on the baseband processor

The proposed pulse generation function can be implemented by adding a random number generator (RNG) and a pulse generator to the original baseband processor, as shown in Fig. 10. The RNG is used for generating a 4-bit random number (RN) that decides the time slot in which the pulse will be generated. Though the protocol controller includes a unit for generating random numbers for collision arbitration according to the Gen2 protocol, this unit is generally used for generating a 16-bit RN. Based on the analytical results in previous sections, a maximum number of 16 time slots for pulse generation is enough for achieving optimal performance for the proposed CD scheme; therefore, an independent 4-bit RNG is proposed.

The RNG can be realized by using a 4-bit linear feedback shift register (LFSR) to generate pseudorandom sequences. The LFSR is very fast and efficient for implementation. On the other hand, the LFSR follows the same implementation structure as the CRC check function, these make the LFSR an ideal choice for realizing the RNG [29]. The pulse generator can be implemented by simple digital logic to generate a pulse which is the same as one subcarrier generated by the FM0/Miller encoder. The RN generated by the RNG is sent to the pulse generator to generate a pulse in the RN determined time slot ahead of the encoded data signal from the FM0/Miller encoder. The pulse and the encoded data signal will then be delivered to the analog front end. The protocol controller can enable or disable the pulse generation function by using a control signal "En", as shown in Fig. 10. When the pulse generation function is disabled, the tag operates in conventional mode. This dual mode architecture enables the tag to be used in a wide range of conditions and facilitates performance evaluation for the tag.

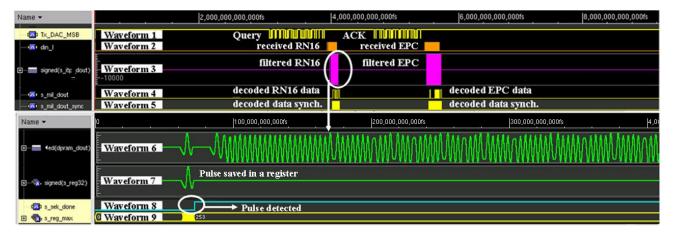


Fig. 11 Measured signal waveforms from the prototyped tag FPGA platform

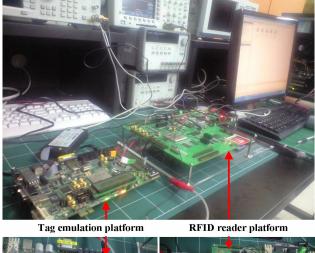




Fig. 12 Experimental setup for functional verification

Based on the proposed architecture, the baseband processor of the tag was implemented in a Xilinx Virtex-5 XC5VSX50T FPGA on a Xilinx ML506 evaluation platform for functional verification. An RFID reader platform based on a Xilinx Virtex-5 XC5VLX330 FPGA, prototyped by Samsung Techwin Co., Ltd., and RFID Research Center in Hanyang University, was used to test the functionalities of the implemented tag. Fig. 12 shows the experimental setup for functional verification of the tag FPGA prototype. Aiming to test the baseband processor of the tag; the reader and tag platforms were

connected by wire to enable communications using baseband signals. As shown in Fig. 12, the reader sends command signals to the tag to trigger the tag operation. Then the reader receives tag reply signals and decodes them. Measurements were done by a logic analyzer (Agilent 16903A) and the measurement results are shown in Fig. 11.

In Fig. 11, waveform 1 and 2 are the reader command signal and the tag reply signal, respectively. A Query command is sent by the reader to the tag at a data rate of 40 kbps. Then an RN16 signal, which contains a pulse in the beginning part of the signal, is sent back by the tag to the reader at a data rate of 75 kbps in Miller-4 encoding format. The received RN16 signal is filtered by a digital bandpass filter in the reader for noise cancellation (waveform 3), and then decoded by the reader. Then the reader sends an ACK command to the tag requiring its EPC. After confirming the RN16 data included in the ACK command, the tag sends an EPC signal to the reader. Waveform 4 and 5 correspond to the decoded data and data synchronization signal of the tag reply signal, respectively. Waveform 6 is a detailed view of the filtered RN16 signal shown in waveform 3. It can be seen that the proposed pulse is successfully generated ahead of the original RN16 signal. The waveform of the pulse is the same as one subcarrier in the RN16 signal. Waveform 7, 8, and 9 show the pulse detection process by using a squarelaw detector implemented in the reader. The samples of the pulse are saved in a register (waveform 7) and then integrated. The integration result (waveform 9) is compared with a threshold to make a decision (waveform 8). Measurement results show that the tag reply signal is correct and can be successfully decoded by the reader.

Table 4 lists the logic gate counts required for implementing the tag chip with the proposed baseband processor. The synthesis report is generated by the

Synopsys Design Compiler based on the TSMC  $0.18 \mu m$  technology. The implementation result is compared with that of a conventional Gen2 tag chip fabricated by Samsung Techwin Co., Ltd and RFID Research Center in Hanyang University using the same  $0.18 \mu m$  technology [30]. From Table 4, it can be seen that the additional number of logic gates required for implementing the proposed chip is only 1% of the conventional chip. This slight additional cost of logic gates shows that the proposed tag baseband architecture does not increase much complexity of the tag chip and is feasible for practical implementation.

Table 4 Compiler synthesis report

|                       | Logic gate count |
|-----------------------|------------------|
| Conventional tag [30] | 9818             |
| Proposed tag          | 9926             |

### 6. Conclusion

In this paper, we proposed a scheme to improve the CD efficiency of the Gen2 RFID systems. An improvement in tag identification speed compared with the conventional methods was verified by simulation. We also discussed development issues for a Gen2 RFID tag to support the implementation of the proposed scheme. Functional measurement and synthesis result of the implemented baseband processor validates the practical feasibility to fabricate the tag chip. Moreover, using the proposed scheme, we are able to detect the number of collided tags in a collision such that will help in estimating the unidentified tag population. Combining the proposed scheme with tag estimation method to maximize system efficiency is our future research subject..

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